

01-07-02

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. SP00-358 Total Pages 2
 First Named Inventor or Application Identifier | V. Srikanth
 Title : FIBER PROFILE FOR ACHIEVING VERY HIGH DISPERSION SLOPE
 Express Mail Label No. EL689101145US

(only for non-provisional applications under 37 C.F.R. § 1.53(b))

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. 1.10:
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on 11/09/01 (Date)

Signature

William J. Chervenak

"EXPRESS MAIL" Mailing Label No. EL689101145US

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

U.S. PTO
 10/037221
 11/09/01

1. ☒ * Fee Transmittal Form
 (Submit an original and a duplicate for fee processing)

2. ☒ Specification (Total Pages 24)
 (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3. ☒ Drawing(s) (25 U.S.C. § 113) (Total Sheets 7)

4. Oath or Declaration (Total Pages 2)

- a. ☒ Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)

I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
 (should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME	William J. Chervenak				
ADDRESS	Coming Incorporated, SP-TI-3-1				
CITY	Coming	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	3956	FAX	(607) 974-3848
Name (Print/Type)	William J. Chervenak			Registration No. (Attorney/Agent)	38,407
Signature	<i>William J. Chervenak</i>			Date	9 Nov 01

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Srikant, V.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

U.S. PAT. & TM. OFF.
 10/03/2001
 11/09/01

TOTAL AMOUNT OF PAYMENT (\$1,022.00)

Attorney Docket Number SP00-358

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1-BASIC FILING FEE

Large Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
101	740	Utility filing fee	740.00
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	

SUBTOTAL (1) (\$740.00)

2-EXTRA CLAIM FEES

	Extra Claims	Fee from Claims below	Fee Paid
Total Claims	31- 20** = 11	x 18 =	198.00
Independent Claims	5- 3** = 0	x 84 =	84.00

Multiple Dependent 0 = 0.00

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Fee	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$262.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Fee Description	Fee Paid
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105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing fee or cover sheet
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	360	Extension for reply within second month
117	890	Extension for reply within third month
118	1,390	Extension for reply within fourth month
128	1,890	Extension for reply within fifth month
119	310	Notice of Appeal
120	310	Filing a brief in support of an appeal
121	270	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,240	Petition to revive - unintentional
142	1,240	Utility issue fee (or reissue)
143	440	Design issue fee
144	600	Plant issue fee
122	130	Petitions to the Commissioner
123	50	Petitions related to provisional applications
126	240	Submission of Information Disclosure Stmt
581	40	Recording each patent assignment per property (times number of properties) ___x
146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))
149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	William J. Chervenak	Registration No. (Attorney/Agent)	38,407
Signature	<i>William J. Chervenak</i>	Date	9 Nov 01